

Policyholder (company):

Name: Tax number:
 Street name and number: Tax residence:
 Country, postal code, city:
 Email address:
 Tel. no. Internet address:

Persons authorized to represent the company:

Name: D.O.B:

Address:
 Attached: passport copy, business card

Name: D.O.B:

Address:
 Attached: passport copy, business card

Information on beneficial owners (BO)

According to the 6th Orders for Life-Insurance Undertakings and Life-Insurance Intermediaries in accordance with Article 59(4) of the PREVENTION AND SUPPRESSION OF MONEY LAUNDERING ACTIVITIES LAW OF 2007-2019 and the Directive for the Prevention and Suppression of Money Laundering and Terrorist Financing (Beneficial Owners) of 2021 insurers are required to establish and verify the identity of the clients' BO. Clients are legally obliged to furnish information on their BOs and inform the insurer about any changes during their contractual relationship.

Exceptions: The following customers need not disclose a BO:

- Listed companies in a recognised securities market¹
- Authorities, corporations under public law (e.g., local governments)
- Religious communities, church organisations (e.g., congregations)
- Sole proprietors

Any natural persons in whose (direct or indirect) ownership or under whose control the company ultimately stands are regarded as beneficial owners (BO). If a direct or indirect BO cannot be determined, the person(s) who hold the position of senior managing official(s) (e.g., managing directors of a company) of the customer shall be deemed BO.

The following types of BO may apply:

Direkt BO	Person who (without an intermediary company) holds more than 25% of the capital interests, shares or voting rights in the customer (1 st interest level)
Indirect BO	Person who holds more than 50% of the capital interests, shares or voting rights in an intermediary company (2 nd interest level) which for its part holds more than 25% capital interests, shares or voting rights in the customer ²

¹ Security market in an EU Member State or a comparable third country, in which there are transparency requirements in accordance with the Community Law in respect of voting rights or equivalent international standards.

² If several entities, which are directly or indirectly controlled by the same natural person, hold a total of shares or voting rights of more than 25% in the client, this shall also be deemed to indicate indirect beneficial ownership.

Other control	Person who exercises factual control over the customer other than via capital interests, shares or voting rights (e.g., as a result of a trusteeship)
Senior Managing Officials	Senior managing officials of the customer are deemed BO if none of the versions applies (e.g., representatives of associations within the meaning of the Austrian Association Act ³)

The client announces the following persons as BO (please tic appropriate type of BO):

	Last name	First name	Birth date	Country of residence	Citizenship	Direct BO	Indirect BO	Other form of control*	Senior Managing Official
1						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* Information regarding the other form of control:

.....

The following of the BO mentioned above is a politically exposed person (PEP) according to THE PREVENTION AND SUPPRESSION OF MONEY LAUNDERING AND TERRORIST FINANCING LAWS OF 2007-2018

.....

(If appropriate: Please tic respective box above and provide no. of BO / PEP from the table above and the prominent public function)

³ These are regarded as BO provided no other natural person directly or indirectly exercised control over the association.

Insured Person (Employee)

Name:Tax number:

Address:

Fiscal country:

Email address:

Tel. no:

Attached: passport copy

Additional information:

1) Type of business (business sector):

2) Total number of employees: Annual turnover:

3) Company registry code/current excerpt from the companies register (commercial register, trade register similar registration with a state institution):

4) From today’s perspective, will you be able to continue premium payments for the whole duration of the contract term?
 YES NO

5) Which type of activity does the employee carry out?
 physical work - which type/precise description

.....

non-physical work - which type/precise description

.....

6) Is the insured employee exposed to special risks?

If yes, which?

7) Will the insured employee in the foreseeable future be deployed in other activities?

.....

8) Will the insured employee in the foreseeable future be deployed in other activities? Will the insured employee in the foreseeable future be deployed in other activities?

If yes, please state the cause.....

This form must be filled in for each proposal separately and carry the policyholder’s company signature.

Date/place

Signature of an authorized person/company stamp

Annex - Definition of politically exposed persons (PEPs)

PEPs are persons who hold or have held a prominent public function within the last year, their immediate family members and persons known to be close associates.

Prominent public functions are the following:

- 1) Heads of state, heads of government, ministers, deputy ministers and secretaries of state (in Austria in particular the Federal President, the Federal Chancellor and members of the federal and state governments);
- 2) Members of parliament or members of comparable legislative bodies (in Austria in particular members of the National Council, the Federal Council and the State Governments),
- 3) Members of the governing bodies of political parties (in Austria in particular members of the management committees of political parties represented in the National Council);
- 4) Members of the supreme courts, constitutional courts or other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances (in Austria in particular judges of the Supreme Court, the Constitutional Court and the Administrative Court);
- 5) Members of courts of auditors or of the boards of central banks (in Austria in particular the President of the Federal Audit Office, directors of the state audit offices and members of the executive board of the Austrian Central Bank);
- 6) Ambassadors, chargés d'affaires and high-ranking officers of the armed forces (in Austria in particular members of the military from the rank of Lieutenant General);
- 7) Members of the administrative, management or supervisory bodies of state-owned companies (in Austria in particular companies in which the government or a state hold an interest of at least 50%);
- 8) Directors, deputy directors and members of the executive management bodies or a comparable position in an international organisation.

Immediate family members include the spouse or a person considered to be equivalent to a spouse, the children and their spouses or persons considered to be equivalent to a spouse and the parents of a politically exposed person.